

The logo for the Ohio Department of Job and Family Services, featuring the word "Ohio" in a red, sans-serif font with a white outline for the letter "O".

**Ohio**

Department of  
Job and Family Services

The logo for Ohio SACWIS, featuring the text "Ohio SACWIS" in a grey, sans-serif font. To the left of the text is a red outline map of the state of Ohio.

**Ohio SACWIS**

# **Overview of CARA and Substance Abuse Functionality**

**April 17, 2018**

**Ohio SACWIS Project**

# Agenda

- Objectives
- Comprehensive Addiction Recovery Act (CARA)
  - Requirements, Definitions, Plan of Safe Care
  - Screening Expectations
- New Intake Functionality
- Changes to Record Disposition
- New Family Assessment Functionality
- Future Enhancements

# Objectives

- Compliance with the Comprehensive Addiction and Recovery Act (CARA)
  - NCANDS data collection and Reporting
  - Service Provision
  - Plan of Safe Care
- Documentation of Substance Abuse concerns throughout the life of the case
- Ability to provide requested data to various state and local community partners

# Challenges

- Short project timeline to meet compliance deadlines
- Planned revisions to the CAPMIS assessment tools based on recommendation from the evaluation
- Phased implementation

# CARA Requirements

- The Secretary of Health & Human Services is required to gather data.
- Each state is required to apply policies and procedures to address infants affected by all substance abuse.
  - Ohio Administrative Code Chapter 5101:2-36 – Screening & Investigation
- Requires the following data to be reported to the National Child Abuse and Neglect Data System (NCANDS):
  - The number of infants identified as being affected by substance abuse, withdrawal symptoms resulting from prenatal drug exposure or Fetal Alcohol Spectrum Disorder
  - The number of infants for whom a Plan of Safe Care was developed
  - The number of infants for whom referrals were made for appropriate services – including services for the affected family or caregiver

# CARA Definitions

- Definitions within CARA:
  - **Infant:** A child under the age of 12 months
  - **Substance Exposed:** A child under the age of 12 months who has been subjected to legal or illegal substance abuse while in utero.
  - **Substance Affected:** A child under the age of 12 months who has any detectable physical, developmental, cognitive, or emotional delay or harm which is associated with a parent, guardian, or custodian's abuse of a legal or illegal substance; excluding the use of a substance by the parent, guardian, or custodian as prescribed.

# Plan of Safe Care

A **Plan of Safe Care** is an arrangement that addresses the immediate safety of the affected infant, the treatment needs of the infant, and the health and substance use disorder treatment needs of the affected family or caregiver. The plan is developed with the parents or other caregivers, as well as the collaborating professional partners and agencies involved in caring for the infant and family.

Information to be gathered to ensure adequate Plan of Safe Care is in place:

- Hospital or medical facility where the infant is being treated
- Treating physician, nurse, social worker, etc.
- Toxicology results and symptoms
- Medical intervention to treat withdrawal symptoms
- Medical information on the infant (current diagnosis, prescription medication, therapies or treatment)
- Health and substance use history of the mother, father, caregiver(s) (their diagnosis, prescribed medications, AOD treatment provider(s), treatment plan, and contact information for these collaterals)
- Treatment plan at discharge for the infant, mother and/or caregiver(s)

# Screening Expectations

PCSAs are required to collect the following information on all referrals involving an infant who has been identified as being substance exposed:

- Ensure a Plan of Safe Care has been established.
- Ensure the Plan of Safe Care addresses the safety needs of the infant.
- Ensure the Plan of Safe Care addresses the health and substance use disorder treatment needs of the affected family or caregiver(s).

**NOTE: The referral should be screened in for investigation if the above information is not available or not met.**

# Intake Enhancements

- Current fields for documenting substance abuse concerns are consolidated on the Basic tab
- When substance abuse concerns are identified by the referent, Screener will record information for each participant on a new Substance Use tab
  - Identify participant(s) reportedly abusing substances, and specify the drug(s)
  - Identify infants under 12 months exposed to substances and/or affected by substance abuse, with details including the specific substance(s)

# Intake Enhancements

- New CARA badge to display in the Intake header and on the Decision confirmation page when the information recorded indicates CARA applies
- Decision Comments are now required for ALL Screened Out Intakes when the reason for screening out is either:
  - No allegations of child abuse/neglect
  - Does not meet agency criteria for service
- When decision is to Screen Out a CARA Intake for either of the above reasons, Screening Decision Maker (SDM) must answer an additional question about the plan of safe care, with a link to information about CARA requirements
  - Prompts display on the screen depending on whether or not there is an adequate plan of safe care

# Intake Enhancements

## Basic Tab

Does this report require a Specialized Assessment/Investigation?



No

Does this report require Third Party involvement due to a potential conflict of interest?



No

This report involves the following substance use concerns (check all that apply):



- Abuse of legal or illegal substances by any family member
- An infant identified as affected by legal or illegal substance use (including positive toxicology for any substance at birth)**
- An infant having withdrawal symptoms resulting from prenatal drug exposure (legal or illegal)
- An infant diagnosed with Fetal Alcohol Spectrum Disorder
- None of the above

How did the reporter become aware of the substance abuse?

Positive Toxicology

Living arrangement at time of intake:

Is law enforcement involved?

What is the screening priority of this report?

- None specified
- LOW**
- MED**
- HIGH**

# Intake Enhancements

## Substance Use Tab

Reporter

Basic

Participants

ACV/AP Detail

Allegations

Substance Use

### Substance Use

[edit](#) [18750880 - Doe, Babykins](#) Female < 1, 04/01/2018 **Alleged Child Victim (ACV)**

**Substances Identified:**

Buprenorphine (Suboxone)  
Heroin

**Services Provided/Referred:**

Drug/alcohol treatment, Medical treatment, Community Supports

**Infant is experiencing the following:**

Positive Toxicology

[edit](#) [18750879 - Doe, Mama](#) Female 32, 05/05/1985 **Alleged Perpetrator (AP)**

*No substance use information has been entered for this Participant.*

# Intake Enhancements

## Participant Substance Use Information Page- Infant

Participant Details

Substance Use Information

### Substance Use Information

18750880 - Doe, Babykins Female < 1, 04/01/2018

Approximate Age Category:

- None Selected
- Infant - Under 12 months
- Child/Adult - Over 12 months

Infant has been identified as being affected by or exposed to a legal or illegal substance: [i](#)

- Not Answered
- No
- Yes

Infant is experiencing the following (please select all that apply):

- Positive Toxicology
- Withdrawal Symptoms
- Diagnosed with Fetal Alcohol Syndrome
- Not Applicable

Available Substances:

Q	Add All	Add
Alcohol		
Amphetamines		
Barbiturates		
Benzodiazepines		
Cocaine		
Codeine		
Hydromorphone		
LSD		

Selected Substances:

Remove	Remove All	Q
		Buprenorphine (Suboxone)
		Heroin

# Intake Enhancements

## Participant Substance Use Information Page- Infant (Continued)

For all the selected substances, is there a valid prescription that has been verified and is being used as prescribed:

- Not Answered
- No
- Yes

Is referral source aware of any service referrals made on behalf of the infant and/or the parent/caregiver?

Yes ▼

Select all that apply:

- Drug/alcohol treatment
- Medical treatment (prescriptions, medical treatments, etc.)
- Mental Health treatment
- Community Supports (housing, food, mentoring, etc.)
- Other

"Other" service referral:

Other service...

# Intake Enhancements

## Participant Substance Use Information Page- Child/Adult

Participant Details

Substance Use Information

### Substance Use Information

18750879 - Doe , Mama Female 32 , 05/05/1985

Approximate Age Category:

- None Selected
- Infant - Under 12 months
- Child/Adult - Over 12 months

Are there current concerns for any of the following for this participant?

- Abuse of any substance including illegal drugs, prescription medications, or other substance.
- Child has ingested any substance including illegal drugs, prescription medications, or other substance.
- Concern for any child affected by any substance including illegal drugs, prescription medications, or other substance.

*This may include a medication not taken as prescribed, medication not prescribed to the user, or other substance which can be legally purchased such as alcohol or inhalants.*

- Not Answered
- No
- Yes

#### Available Substances:

Q	Add All	Add
Amphetamines		▲
Barbiturates		
Benzodiazepines		
Cocaine		
Codeine		
Hydromorphone		
LSD		
MDMA (AKA X, Ecstasy, Molly)		▼

#### Selected Substances:

Remove	Remove All	Q
Alcohol		
Buprenorphine (Suboxone)		
Heroin		

# Intake Enhancements

## Decision Tab

Reporter Basic Participants ACV/AP Detail Allegations Substance Use **Decision**

### Decision Details

Is this an emergency?

Response time for initiation:

Screening decision:

Reason for screening out:

Does the plan of safe care meet the safety needs of the infant(s) as well as the health and substance use disorder treatment needs of the affected family or caregivers as required per [CARA](#) .

- Not Answered  
 No  
 Yes

 Ensure the decision comments describe how the plan of safe care is meeting the safety and well-being needs of the infant(s).

Date & time of screening decision:

   AM 

Use Current Date/Time



# Intake Enhancements

**QUESTIONS?**

# Disposition Enhancements

- New Multi-select Substances Field on the Disposition Details page
  - Applicable to the Harm Description(s) for the Allegation
  - Required when any Substance-Related Harm Description has been selected
  - Selected substances display with the Allegation Details on the Disposition Information page for easy reference

# Disposition Enhancements

## Disposition Details Page

Incident Date: \*    Date Estimated ⓘ

Report Disposition: \*   Unable to Locate

Severity of Harm: \* ⓘ

Note: Record Severity of Harm and Harm Description(s) as a result of this abuse or neglect allegation.

### Harm Descriptions

Available Harm Descriptions	Selected Harm Descriptions
<input type="text" value=""/> <input type="button" value="Add"/>	<input type="button" value="Remove"/> <input type="text" value=""/>
Mental Injury	Infant Affected by Illegal Substance Abuse
Minor Cuts/Bruises	Positive Toxicology of Infant/Child
Non-Organic Failure to Thrive	
Non-Related Sexual Intercourse	
Not Applicable	
Other Physical Injury	
Other Sexual Maltreatment	
Poisoning	

### Substances

Available Substances:	Selected Substances:
<input type="text" value=""/> <input type="button" value="Add"/>	<input type="button" value="Remove"/> <input type="text" value=""/>
Methadone	Heroin
Methamphetamine	Other
Morphine	
Opiates	
Phencyclidine	
Propoxyphene	
Tetrahydrocannabinol (THC)	
Unknown to Referral Source	

"Other" Substance:

# Disposition Enhancements

## Disposition Information Page

Case > Workload > Intake > Intake Dispositions

Disposition Information				Contributing Factors			
CASE NAME / ID: <b>Doe, Mama</b> / 41957968				<i>Alternative Response Assessment</i> / Open (04/01/2018)			
<b>Allegation Details</b>							
Intake ID: 14577606		Intake Category: CA/N Report		Screening Decision Date: 04/01/2018			
ACV/CSR	Allegation	AP/ASR	Severity of Harm	Harm Description	Substance(s)	Incident Date	
<a href="#">edit</a> <a href="#">Doe, Babykins / 18750880</a>	Physical Abuse Alternative Response	<a href="#">Doe, Mama / 18750879</a>	Treated and Released	Infant Affected by Illegal Substance Abuse Positive Toxicology of Infant/Child	Heroin Other	04/01/2018	



# Disposition Enhancements

**QUESTIONS?**

# Family Assessment Enhancements

## ○ New Substance Use tab

- Pulls Substance Use information forward from the linked Intake(s) as applicable
- When Substance Use Concerns are present, specific information will be documented for each participant
  - Whether or not there are current substance-related concerns for each person
  - Specific substance(s) of concern documented via confirmation of Person Characteristics
  - Confirmation of verified prescription for substances infant was exposed to/affected by
  - Checkboxes to document types of service referrals for CARA

# Family Assessment Enhancements

## Substance Use Tab

Participants	Safety Review	Strengths & Needs	Risk Assessment	Substance Use	Case Analysis
Case ID:	39809962	AR Family Assessment ID:	27062049		
Case Name:	Doe, Mama	AR Family Assessment Status:	In Progress		
<b>Substance Use</b>					
The following substance use concerns have been identified (check all that apply): <a href="#">?</a>					
<input checked="" type="checkbox"/> Abuse of legal or illegal substances by any family member					
<input checked="" type="checkbox"/> An infant identified as affected by legal or illegal substance use (including positive toxicology for any substance at birth)					
<input type="checkbox"/> An infant having withdrawal symptoms resulting from prenatal drug exposure (legal or illegal)					
<input type="checkbox"/> An infant diagnosed with Fetal Alcohol Spectrum Disorder					
<input type="checkbox"/> None of the above					
<a href="#">edit</a>	<a href="#">17652779 - Doe, Baby</a> Female 0, 01/01/2018				
	<b>Characteristics Identified:</b> Positive Toxicology Screen at Birth - Opiates				
<a href="#">edit</a>	<a href="#">17652780 - Doe, Mama</a> Requires Review Female 29, 08/08/1988				
	<b>Substances Reported:</b> Heroin				

# Family Assessment Enhancements

## Family Assessment Participant Details- Infant

### Family Assessment Participant Details

#### Family Assessment Participant Substance Use Information

[17652779 - Doe, Baby](#) Female 0, 01/01/2018

Approximate Age Category:

- None Selected
- Infant - Under 12 months
- Child/Adult - Over 12 months

Infant has been identified as being affected by or exposed to a legal or illegal substance: ⓘ

- Not Answered
- No
- Yes

Infant is experiencing the following (select all that apply):

- Positive Toxicology
- Withdrawal Symptoms
- Diagnosed with Fetal Alcohol Syndrome
- Not Applicable

Substances Reported in Intake(s):

Opiates

# Family Assessment Enhancements

## Family Assessment Participant Details- Infant (Continued)

Substance Related Characteristics for this Person:

	Current Characteristics	Method	Begin Date
<a href="#">edit</a>	Positive Toxicology Screen at Birth - Opiates	Clinically Diagnosed	04/01/2018

Add Characteristics:

Add Characteristics

Is there a valid prescription that has been verified and is being used as prescribed for all substances the infant was exposed to/affected by?

- Not Answered  
 No  
 Yes

Have there been any service referrals made on behalf of the infant and/or the parent/caregiver?

Yes ▾

Select all that apply:

- Drug/alcohol treatment  
 Medical treatment (prescriptions, medical treatments, etc.)  
 Mental Health treatment  
 Community Supports (housing, food, mentoring, etc.)  
 Other

Substance Use Information Reviewed / Updated:

Completed ▾

# Family Assessment Enhancements

## Family Assessment Participant Details- Child/Adult

### Family Assessment Participant Substance Use Information

17652780 - Doe, Mama Female 29, 08/08/1988

Approximate Age Category:

- None Selected
- Infant - Under 12 months
- Child/Adult - Over 12 months

Are there current concerns for any of the following for this participant?

- Abuse of any substance including illegal drugs, prescription medications, or other substance.
- Child has ingested any substance including illegal drugs, prescription medications, or other substance.
- Concern for any child affected by any substance including illegal drugs, prescription medications, or other substance.

*This may include a medication not taken as prescribed, medication not prescribed to the user, or other substance which can be legally purchased such as alcohol or inhalants.*

- Not Answered
- No
- Yes

Substances Reported in Intake(s):

Heroin

Substance Related Characteristics for this Person:

	Current Characteristics	Method	Begin Date
<a href="#">edit</a>	Heroin - Addiction/Dependence	Clinically Diagnosed	02/01/2018

Add Characteristics:

Mental Health/Substance Abuse

Add Characteristics

Substance Use Information Reviewed / Updated:

Completed



# Family Assessment Enhancements

**QUESTIONS?**

# Future Enhancements

- SACWIS Build 3.17 (Summer 2018)
- Substance Use tab
  - Specialized Assessment/Investigation
  - Ongoing Case Assessment
- Initial Removal Record
  - Multi-select Substance(s) field will display and be required when a substance related Initial Removal Reason is selected
- Case Closure
  - In any Ongoing or AR Ongoing case, if information on the Substance Use tab in any Family/Ongoing/Specialized Assessment within the case episode includes a substance affected/exposed infant, a message on the case closure page will prompt the user to ensure the case closure summary describes how the CARA requirements have been met

# Contacts

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